

Kernels for small molecules

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1 Citation and Reference

This document is part of the publication “Rchemcpp: a web service for structural analoging in ChEMBL, Drugbank and the Connectivity Map” (Klambauer *et al.* 2015) and can be cited as follows:

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2 Graph kernels

These kernels are based on sets of molecular fragments. Molecular fragments can be either *walks*, i.e. a sequence of atoms connected by bonds, or *subtrees*, i.e. directed tree-patterns. A molecule is represented as graph. If we consider two molecules as graphs X and Y , then the kernel K is:

$$K(X, Y) = \sum_{p \in \mathcal{P}} N(p, X) \cdot N(p, Y), \quad (1)$$

where \mathcal{P} is the set of molecular fragments (all possible walks or subtrees, and the function $N(p, X)$ usually (e.g. for the spectrum kernel 2.2) counts, how often the pattern p occurs in molecule graph X . The function $N(p, X)$ will be defined for the different kernel types in the following.

Kernel similarity measures can be normalized to values between 0 and 1. Usually the following kernel normalization is used

$$K_{\text{norm}}(X, Y) = \frac{K(X, Y)}{\sqrt{K(X, X) \cdot K(Y, Y)}}. \quad (2)$$

2.1 Implementation

Graph kernels are efficiently implemented in `Chemcpp` (Mahé *et al.* 2007) or its R interface `Rchemcpp` (Mahr *et al.* 2012).

- **sd2gram** Implements the marginalized kernel 2.5.
- **sd2gramSpectrum** Implements the spectrum kernel 2.2, the Tanimoto kernel 2.3, the MinMax kernel 2.4, the lambda-k kernel 2.7 and the approximation of the marginalized kernel 2.6.
- **sd2gramSubtree** Implements the subtree kernel 2.9.

For all these kernels the set of molecular fragments are non-tottering walks of or up to a certain length n given by the `depthMax` parameter. With the option `onlyDepthMax=FALSE` all molecular fragments up to a length of n atoms/vertices are in the set \mathcal{P} , if `onlyDepthMax=TRUE` only molecular fragments with n atoms/vertices are in the set \mathcal{P} . For all kernels there is a parameter `returnNormalized` that scales the similarity measures to values between 0 and 1.

2.2 The Spectrum Kernel

Here the function $N(p, X)$ counts how often the walk p occurs in the graph X . The kernel is

$$K(X, Y) = \sum_{p \in \mathcal{P}} N(p, X) \cdot N(p, Y), \quad (3)$$

with

$$N(p, X) = \#\{p \in X\}. \quad (4)$$

For simplicity we denote a function $\#$ that the number of occurrences of walk p in graph X . The normalized version of this kernel is:

$$K_{\text{norm}}(X, Y) = \frac{K(X, Y)}{\sqrt{K(X, X) \cdot K(Y, Y)}} \quad (5)$$

2.3 The Tanimoto Kernel

Here the function $N(p, X)$ indicates whether walk p occurs in the graph X . The kernel is

$$K(X, Y) = \sum_{p \in \mathcal{P}} N(p, X) \cdot N(p, Y), \quad (6)$$

with

$$N(p, X) = \mathbf{1}\{p \in X\}. \quad (7)$$

For simplicity we denote an indicator function $\mathbf{1}$ that is one if the walk p occurs in the graph X and is zero otherwise. What is usually considered as the Tanimoto kernel (Ralaivola *et al.* 2005) is the normalized version:

$$K_{\text{Tanimoto}}(X, Y) = \frac{K(X, Y)}{K(X, X) + K(Y, Y) - K(X, Y)}. \quad (8)$$

2.4 The MinMax Kernel

This kernel (Ralaivola *et al.* 2005) is a variation of the kernel presented above. Here the function $N(p, X)$ counts how often the walk p occurs in the graph X .

$$K_{\max}(X, Y) = \sum_{p \in \mathcal{P}} \max(N(p, X), N(p, Y)), \quad (9)$$

$$K_{\min}(X, Y) = \sum_{p \in \mathcal{P}} \min(N(p, X), N(p, Y)) \quad (10)$$

$$(11)$$

with

$$N(p, X) = \#\{p \in X\} \quad (12)$$

the number of occurrences of walk p in the graph X . The MinMax kernel is the already a normalized version:

$$K_{\min\max}(X, Y) = \frac{K_{\min}(X, Y)}{K_{\max}(X, Y)}. \quad (13)$$

2.5 The Marginalized Kernel

The marginalized kernel suggested by Kashima *et al.* (2003, 2004) . Here the function $N(p, X)$ counts how often the walk p occurs in the graph X and weights it by the probability that it occurs. The kernel is

$$K(X, Y) = \sum_{p \in \mathcal{P}} N(p, X) \cdot N(p, Y), \quad (14)$$

with

$$N(p, X) = \sum_{h \in \mathcal{H}(X)} w(h, X) \cdot \mathbf{1}(h = p), \quad (15)$$

where $\mathcal{H}(X)$ is the set of walks of graph X , and $w(h, X)$ is the probability that the walk h occurs in X . This probability is influenced by the stopping probability (parameter `stopP`). The indicator function $\mathbf{1}(h = p)$ is one if the atoms and bonds of the walk h match the given walk p . The sum in Eq. 14 is a sum over an infinite number of walks. However, the probability of a walk decreases exponentially with its length, therefore the kernel converges.

2.6 The Marginalized Kernel Approximation

This is an approximation of the marginalized kernel (Kashima *et al.* 2003, 2004) presented above, but the set of walks here is finite, since the length of the walks is bounded by n . Here the function $N(p, X)$ counts how often the walk p occurs in the graph X and weights it by the probability that it occurs. The kernel is

$$K(X, Y) = \sum_{p \in \mathcal{P}} N(p, X) \cdot N(p, Y), \quad (16)$$

with

$$N(p, X) = \sum_{h \in \mathcal{H}(X)} w(h, X) \cdot \mathbf{1}(h = p), \quad (17)$$

where $\mathcal{H}(X)$ is the set of walks of graph X , and $w(h, X)$ is the probability that the walk h occurs in X . This probability is influenced by the stopping probability (parameter `stopP`). The indicator function $\mathbf{1}(h = p)$ is one if the atoms and bonds of the walk h match the given walk p .

Because the length of the walks is limited by the parameter n , this is only an approximation of the marginalized kernel. Note that because of the random walk process, the probabilities of the walks exponentially decrease with their lengths. Long walks are barely taken into account in the marginalized kernel formulation. The normalized version of this kernel is:

$$K_{\text{norm}}(X, Y) = \frac{K(X, Y)}{\sqrt{K(X, X) \cdot K(Y, Y)}} \quad (18)$$

2.7 The Lambda-k Kernel

Here the function $N(p, X)$ counts how often the walk p occurs in the graph X and weights it by a function of the length of the walk. The kernel is

$$K(X, Y) = \sum_{p \in \mathcal{P}} N(p, X) \cdot N(p, Y), \quad (19)$$

with

$$N(p, X) = \lambda^{|p|} \cdot \#\{p \in X\}. \quad (20)$$

For simplicity we denote a function $\#$ that the number of occurrences of walk p in graph X . For $\lambda = 1$ this kernel corresponds exactly to the spectrum kernel. For $\lambda > 1$ the influence of longer walks is higher, and for $\lambda < 1$ the similarity measure is more influenced by shorter walks. The normalized version of this kernel is:

$$K_{\text{norm}}(X, Y) = \frac{K(X, Y)}{\sqrt{K(X, X) \cdot K(Y, Y)}} \quad (21)$$

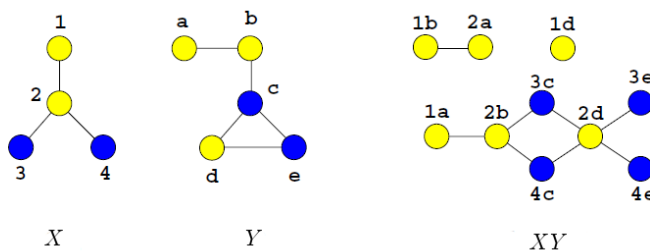


Figure 1: An example for a product graph. Figure taken from Vert (2007).

2.8 Efficiency of walk kernels

A walk of a graph $X = (V, E)$ with vertices V and edges E is a sequence $v_1, \dots, v_n \in V$ such that $(v_i, v_{i+1}) \in E$ for $i = 1, \dots, n-1$. This implementation mostly uses non-tottering walks, that is walks with $v_i \neq v_{i+2}$ or provides an option to remove tottering walks. We denote $\mathcal{H}_n(X)$ the set of walks of graph X with length n . The computation of these kernels is very efficient, since there is a bijection between the pairs of walks $p \in \mathcal{H}_n(X)$ and $q \in \mathcal{H}_n(Y)$ with the same label sequences and the walks on the product graph $r \in \mathcal{H}_n(X \times Y)$. Therefore we only have to count the walks with length n on the product graph of X and Y .

The product graph between two labeled graphs $X = (V_X, E_X)$ and $Y = (V_Y, E_Y)$ is defined as the graph $Z = (V_{X \times Y}, E_{X \times Y})$ with

$$V_{X \times Y} = \{(v_1, v_2) \in V_X \times V_Y : l(v_x) = l(v_y)\} \quad (22)$$

$$E_{X \times Y} = \{((v_1, v_2), (v'_1, v'_2)) \in V_X \times V_Y : (v_1, v'_1) \in E_X \text{ and } (v_2, v'_2) \in E_Y\}, \quad (23)$$

where $l(v)$ denotes the label of the vertex v . For a graphical explanation of the definition of the product graph, see Fig. 2.8.

2.9 The Subtree Kernel

This graph kernel is based on the detection of common subtrees: the so-called tree-pattern graph kernels, originally introduced in (Ramon and Gärtner 2003), and revisited in (Mahé *et al.* 2006). For more details on the kernel definitions please refer to (Mahé *et al.* 2006). The size of the trees can either be bounded by the size of the tree (number of atoms) or the number of branches. Both trees of a given length and up to a given length can be used for the calculation of the kernel.

3 The Pharmacophore Kernel

The pharmacophore kernel is based on the 3D structure of molecules (Mahé *et al.* 2006). The kernels are based on the comparison of the three-point pharmacophores present in the 3D structures of molecules, a set of molecular features known to be particularly relevant for virtual screening applications. There is a computationally demanding exact implementation of these kernels, as well as fast approximations related to the classical fingerprint-based approaches.

3.1 Implementation

The pharmacophore kernel and its approximation is efficiently implemented in Chemcpp(Mahé *et al.* 2007) or its R interface Rchemcpp(Mahr *et al.* 2012).

- **sd2gram3Dpharma** Implements the exact pharmacophore kernel.
- **sd2gram3Dspectrum** Implements some approximations of the pharmacophore kernel.

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